

# INTERNATIONAL SANCTIONS MONITORING (ISM)

## YOUR SOLUTION FOR MONITORING INTERNATIONAL SANCTIONS

**In a world where sanctions are more and more frequently updated, reliable monitoring is of central importance for compliance with legal requirements. With International Sanctions Monitoring (ISM), we offer you an efficient way to keep an eye on sanctions lists from global authorities and minimise compliance risks.**

### Why sanctions monitoring is indispensable for companies

Financial sanctions and trade restrictions are essential instruments to enforce international security policy. Violations of such sanctions are often serious and can lead to high fines or reputational damage. Growing geopolitical tensions, such as Russia's war against Ukraine, have led to a significant increase in the number of sanctioned entities. This poses new challenges for compliance departments worldwide.

### What does ISM offer?

To make it easier for you to maintain your sanction lists, ISM includes the sanctions lists of the following sanctions authorities with financial sanctions against entities and organisations:

- **Office of Foreign Assets Control (OFAC):**  
Enforcement of economic and trade sanctions based on U.S. foreign policy and national security objectives
- **Common Foreign and Security Policy (CFSP):**  
Coordination of the foreign and security policy measures of the EU member states, including the imposition of sanctions

- **Office of Financial Sanctions Implementation (OFSI):** Implementation and enforcement of financial sanctions in the United Kingdom
- **United Nations (UN):** Consolidated list of the United Nations Security Council

### How can ISM support you?

The sanctions lists of the respective authorities are checked four times on each trading day for updates. Newly sanctioned entities are compared with our database and positive assignments of entities from the sanctions lists are marked accordingly in the WM database.

The names of these sanctioned entities are mapped with the complete database of our available financial instruments (more than 3 million). As a result, you receive an updated list of sanctioned entities and financial instruments on each trading day.

In addition, another CSV file is available containing sanctioned entities from the sanctions lists that are not part of the WM database.

### Rising fines emphasise the importance of good monitoring

In recent years, fines for sanctions violations have risen significantly. In 2023, the US Department of the Treasury's Office of Foreign Assets Control imposed fines totalling over USD 1.5 billion - the highest amount ever recorded in a calendar year. This shows how relevant continuous monitoring has become in order to avoid compliance violations.

## Your advantages with ISM at a glance

International Sanctions Monitoring provides you with reliable support in complying with sanctions requirements and the following advantages:



### Coverage

WM key markets: 100% mapping with more than 3 million financial instruments and data records



### Up-to-date

Lists of issuers and financial instruments updated on every trading day



### Smooth integration

Access via established WM provision channels & standardised data formats



### Overview

Quick identification of sanctioned companies and securities



### Trust

Trusted partner to the financial industry since 1947



### Support

Helpdesk available from 8am to 9pm to answer your questions

## Do you have any questions or need further information?

We would be happy to provide you with further information on how ISM can support your compliance processes.

We look forward to hearing from you.



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For further information please visit our website.

